

Cardinal Levada's Introduction to Abuse Guidelines

Vatican translation of the letter from Cardinal William Levada, prefect of the Congregation for the Doctrine of the Faith, that was sent to all episcopal conferences as an introduction to the "Circular Letter to Assist Episcopal Conferences in Developing Guidelines for Dealing With Cases of Sexual Abuse of Minors Perpetrated by Clerics." Both documents were published by the Vatican press office.

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Your Eminence, Your Excellency,

As you are aware, on 21 May 2010, His Holiness, Benedict XVI promulgated a revised version of the *motu proprio Sacramentorum sanctitatis tutela* containing norms concerning *delicta graviora*, including the sexual abuse of minors by members of the clergy.

In order to facilitate the correct application of these norms and other issues related to the abuse of minors, it seems opportune that each Episcopal Conference prepare Guidelines whose purpose will be to assist the Bishops of the Conference to follow clear and coordinated procedures in dealing with these instances of abuse. Such Guidelines would take into account the concrete situation of the jurisdictions within the Episcopal Conference.

To assist the Conferences of Bishops with the preparation of such Guidelines, or as an aid in reviewing those which already exist, the Congregation for the Doctrine of Faith has prepared the enclosed Circular Letter which contains general themes for the consideration of the Conference. This Circular Letter will remain under embargo until 12:00 PM in Rome on 16 May 2011, when it will be released by the Vatican Press Office.

It would also be helpful to involve the Major Superiors of clerical religious Institutes present in the territory of the Conference in the development of such Guidelines.

Finally, each Conference of Bishops is asked to send a copy of the completed Guidelines to the Congregation by the end of May 2012. This Dicastery remains at the disposal of your Episcopal Conference should there be any need for clarification or assistance in the preparation of such Guidelines. In the event that the Conference wishes to establish binding norms it will be necessary to request the appropriate *recognitio* from the competent Dicasteries of the Roman Curia.

With prayerful best wishes, I remain

Sincerely yours in Christ,

William Card. Levada
Prefect

Vatican Guidelines for Address Abuse of Minors

"Be Prepared to Listen to the Victims and Their Families"

Vatican translation of the "Circular Letter to Assist Episcopal Conferences in Developing Guidelines for Dealing With Cases of Sexual Abuse of Minors Perpetrated by Clerics," which was signed by Cardinal William Levada, prefect of the Congregation for the Doctrine of the Faith, and Luis F. Ladaria, secretary of the same dicastery. The document was published by the Vatican press office.

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Among the important responsibilities of the Diocesan Bishop in his task of assuring the common good of the faithful and, especially, the protection of children and of the young, is the duty he has to give an appropriate response to the cases of sexual abuse of minors by clerics in his diocese. Such a response entails the development of procedures suitable for assisting the victims of such abuse, and also for educating the ecclesial community concerning the protection of minors. A response will also make provision for the implementation of the appropriate canon law, and, at the same time, allow for the requirements of civil law.

I. General considerations:

a) The victims of sexual abuse:

The Church, in the person of the Bishop or his delegate, should be prepared to listen to the victims and their families, and to be committed to their spiritual and psychological assistance. In the course of his Apostolic trips our Holy Father, Benedict XVI, has given an eminent model of this with his availability to meet with and listen to the victims of sexual abuse. In these encounters the Holy Father has focused his attention on the victims with words of compassion and support, as we read in his *Pastoral Letter to the Catholics of Ireland* (n.6): "You have suffered grievously and I am truly sorry. I know that nothing can undo the wrong you have endured. Your trust has been betrayed and your dignity has been violated."

b) The protection of minors:

In some countries programs of education and prevention have been begun within the Church in order to ensure "safe environments" for minors. Such programs seek to help parents as well as those engaged in pastoral work and schools to recognize the signs of abuse and to take appropriate measures. These programs have often been seen as models in the commitment to eliminate cases of sexual abuse of minors in society today.

c) The formation of future priests and religious:

In 2002, Pope John Paul II stated, "there is no place in the priesthood and religious life for those who would harm the young" (n. 3, *Address to the American Cardinals*, 23 April 2002). These words call to mind the specific responsibility of Bishops and Major Superiors and all those responsible for the formation of future priests and religious. The directions given in the Apostolic Exhortation *Pastores Dabo Vobis* as well as the instructions of the competent Dicasteries of the Holy See take on an even greater importance in assuring a proper discernment of vocations as well as a healthy human and spiritual formation of candidates. In particular, candidates should be formed in an appreciation of chastity and celibacy, and the responsibility of the cleric for spiritual fatherhood. Formation should also assure that the candidates have an appreciation of the Church's discipline in these matters. More specific directions can be integrated into the formation programs of seminaries and houses of formation through the respective *Ratio institutionis sacerdotalis* of each nation, Institute of Consecrated Life and Society of Apostolic Life.

Particular attention, moreover, is to be given to the necessary exchange of information in regard to those candidates to priesthood or religious life who transfer from one seminary to another, between different dioceses, or between religious Institutes and dioceses.

d) Support of Priests

1. The bishop has the duty to treat all his priests as father and brother. With special attention, moreover, the bishop should care for the continuing formation of the clergy, especially in the first years after Ordination, promoting the importance of prayer and the mutual support of priestly fraternity. Priests are to be well informed of the damage done to victims of clerical sexual abuse. They should also be aware of their own responsibilities in this regard in both canon and civil law. They should as well be helped to recognize the potential signs of abuse perpetrated by anyone in relation to minors;

2. In dealing with cases of abuse which have been denounced to them the bishops are to follow as thoroughly as possible the discipline of canon and civil law, with respect for the rights of all parties;

3. The accused cleric is presumed innocent until the contrary is proven.

Nonetheless the bishop is always able to limit the exercise of the cleric's ministry until the accusations are clarified. If the case so warrants, whatever measures can be taken to rehabilitate the good name of a cleric wrongly accused should be done.

e) Cooperation with Civil Authority

Sexual abuse of minors is not just a canonical delict but also a crime prosecuted by civil law. Although relations with civil authority will differ in various countries, nevertheless it is important to cooperate with such authority within their responsibilities. Specifically, without prejudice to the sacramental internal forum, the prescriptions of civil law regarding the reporting of such crimes to the designated authority should always be followed. This collaboration, moreover, not only concerns cases of abuse committed by clerics, but also those cases which involve religious or lay persons who function in ecclesiastical structures.

II. A brief summary of the applicable canonical legislation concerning the delict of sexual abuse of minors perpetrated by a cleric:

On 30 April 2001, Pope John Paul II promulgated the *motu proprio Sacramentorum sanctitatis tutela [SST]*, by which sexual abuse of a minor under 18 years of age committed by a cleric was included in the list of more grave crimes (*delicta graviora*) reserved to the Congregation for the Doctrine of the Faith (CDF). Prescription for this delict was fixed at 10 years beginning at the completion of the 18th year of the victim. The norm of the *motu proprio* applied both to Latin and Eastern clerics, as well as for diocesan and religious clergy.

In 2003, Cardinal Ratzinger, then Prefect of the CDF, obtained from Pope John Paul II the concession of some special faculties in order to provide greater flexibility in conducting penal processes for these more grave delicts. These measures included the use of the administrative penal process, and, in more serious cases, a request for dismissal from the clerical state *ex officio*. These faculties have now been incorporated in the revision of the *motu proprio* approved by the Holy Father, Benedict XVI, on 21 May 2010. In the new norms prescription, in the case of abuse of minors, is set for 20 years calculated from the completion of the 18th year of age of the victim. In individual cases, the CDF is able to derogate from prescription when indicated. The canonical delict of acquisition, possession or distribution of pedopornography is also specified in this revised *motu proprio*.

The responsibility for dealing with cases of sexual abuse of minors belongs, in the first place, to Bishops or Major Superiors. If an accusation seems true the Bishop or Major Superior, or a delegate, ought to carry out the preliminary investigation in accord with *CIC* can. 1717, *CCEO* can. 1468, and *SST* art. 16.

If the accusation is considered credible, it is required that the case be referred to the CDF. Once the case is studied the CDF will indicate the further steps to be taken. At the same time, the CDF will offer direction to assure that appropriate measures are taken which both guarantee a just process for the accused priest, respecting his fundamental right of defense, and care for the good of the Church, including the good of victims. In this regard, it should be noted that normally the imposition of a permanent penalty, such as dismissal from the clerical state, requires a penal judicial process. In accord with canon law (cf. *CIC* can. 1342) the Ordinary is not able to decree permanent penalties by extrajudicial decree. The matter must be referred to the CDF which will make the definitive judgement on the guilt of the cleric and his unsuitability for ministry, as well as the consequent imposition of a perpetual penalty (*SST* art. 21, §2).

The canonical measures applied in dealing with a cleric found guilty of sexual abuse of a minor are generally of two kinds: 1) measures which completely restrict public ministry or at least exclude the cleric from any contact with minors. These measures can be reinforced with a penal precept; 2) ecclesiastical penalties, among which the most grave is the dismissal from the clerical state.

In some cases, at the request of the cleric himself, a dispensation from the obligations of the clerical state, including celibacy, can be given *pro bono Ecclesiae*.

The preliminary investigation, as well as the entire process, ought to be carried out with due respect for the privacy of the persons involved and due attention to their reputations.

Unless there are serious contrary indications, before a case is referred to the CDF, the accused cleric should be informed of the accusation which has been made, and given the opportunity to respond to it. The prudence of the bishop will determine what information will be communicated to the accused in the course of the preliminary investigation.

It remains the duty of the Bishop or the Major Superior to provide for the common good by determining what precautionary measures of *CIC* can. 1722 and *CCEO* can. 1473 should be imposed. In accord with *SST* art. 19, this can be done once the preliminary investigation has been initiated.

Finally, it should be noted that, saving the approval of the Holy See, when a Conference of Bishops intends to give specific norms, such provisions must be understood as a complement to universal law and not replacing it. The particular provisions must therefore be in harmony with the *CIC / CCEO* as well as with the *motu proprio Sacramentorum sanctitatis tutela* (30 April 2001) as updated on 21 May 2010. In the event that a Conference would decide to establish binding norms it will be necessary to request the *recognitio* from the competent Dicasteries of the Roman Curia.

III. Suggestions for Ordinaries on Procedures:

The Guidelines prepared by the Episcopal Conference ought to provide guidance to Diocesan Bishops and Major Superiors in case they are informed of allegations of sexual abuse of minors by clerics present in the territory of their jurisdiction. Such Guidelines, moreover, should take account of the following observations:

a.) the notion of "sexual abuse of minors" should concur with the definition of article 6 of the *motu proprio SST* ("the delict against the sixth commandment of the Decalogue committed by a cleric with a minor below the age of eighteen years"), as well as with the interpretation and jurisprudence of the Congregation for the Doctrine of the Faith, while taking into account the civil law of the respective country;

b.) the person who reports the delict ought to be treated with respect. In the cases where sexual abuse is connected with another delict against the dignity of the sacrament of Penance (*SST* art. 4), the one reporting has the right to request that his or her name not be made known to the priest denounced (*SST* art. 24).;

c.) ecclesiastical authority should commit itself to offering spiritual and psychological assistance to the victims;

d.) investigation of accusations is to be done with due respect for the principle of privacy and the good name of the persons involved;

e.) unless there are serious contrary indications, even in the course of the preliminary investigation, the accused cleric should be informed of the accusation, and given the opportunity to respond to it.

f.) consultative bodies of review and discernment concerning individual cases, foreseen in some places, cannot substitute for the discernment and *potestas regiminis* of individual bishops;

g.) the Guidelines are to make allowance for the legislation of the country where the Conference is located, in particular regarding what pertains to the obligation of notifying civil authorities;

h.) during the course of the disciplinary or penal process the accused cleric should always be afforded a just and fit sustenance;

i.) the return of a cleric to public ministry is excluded if such ministry is a danger for minors or a cause of scandal for the community.

Conclusion:

The Guidelines developed by Episcopal Conferences seek to protect minors and to help victims in finding assistance and reconciliation. They will also indicate that the responsibility for dealing with the delicts of sexual abuse of minors by clerics belongs in the first place to the Diocesan Bishop. Finally, the Guidelines will lead to a common orientation within each Episcopal Conference helping to better harmonize the resources of single Bishops in safeguarding minors.

Rome, from the Congregation for the Doctrine of the Faith, 3 May 2011

William Cardinal Levada
Prefect

+ Luis F. Ladaria, S.J.
Tit. Archbishop of Thibica
Secretary

A Very Important New Step in Promoting Awareness Throughout the Church"

Vatican translation of the explanation given by Jesuit Father Federico Lombardi, the director of the Vatican press office, on the "Circular Letter to Assist Episcopal Conferences in Developing Guidelines for Dealing With Cases of Sexual Abuse of Minors Perpetrated by Clerics." Both documents were published by the Vatican press office.

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In recent days, the Congregation for the Doctrine of the Faith has sent to all Episcopal conferences a "Circular Letter to assist Episcopal Conferences in developing Guidelines for dealing with cases of sexual abuse of minors perpetrated by clerics."

The preparation of the document was announced in July, at the time of the publication of new rules for the implementation of the *motu proprio* " *Sacramentorum sanctitatis tutela* " (see Note Fr. F. Lombardi, in OR, 16/07/2010, 1, and www.vatican.va, Abuse of minors. The Church's reponse).

H.E., Cardinal Levada, Prefect of the Congregation, later informed of its preparation during the meeting of the Cardinals at the November Consistory (see Press Release on the Afternoon Session, 11/19/2010).

The document is accompanied by a letter of presentation, signed by Cardinal Levada, illustrating its nature and purpose.

Following the revision of norms on sexual abuse of minors by members of the clergy, approved by the Pope last year, "it seems opportune that each Episcopal Conference prepare Guidelines whose purpose will be to assist the Bishops of the Conference to follow clear and coordinated procedures in dealing with these instances of abuse. Such Guidelines would take into account the concrete situation of the jurisdictions within the Episcopal Conference".

To this end, the Circular Letter "contains general themes" for the consideration which naturally must be adapted to national realities, but which will help to ensure a coordinated approach by the various episcopates as well as - precisely thanks to the Guidelines - within the Episcopal Conferences.

Regarding the drafting of new Guidelines or the revision of existing ones, Cardinal Levada's letter also gives two indications: first, to involve the Major Superiors of clerical religious Institutes (to take into account not only diocesan clergy, but also religious), and then to "send a copy of the completed Guidelines to the Congregation by the end of May 2012".

In conclusion, two concerns are clear:

1. The need to address the problem promptly and effectively with clear, organic, indications that are suitable to local situations and in relation to the norms and civil authorities. The indication of a specific date and a relatively short period within which all Episcopal conferences must develop Guidelines is clearly a very strong and eloquent statement.

2. Respect for the fundamental competence of the diocesan bishops (and Major Superiors) in the matter (the wording of the Circular is very keen to stress this aspect: the guidelines are intended to "assist the diocesan bishops and Major Superiors").

The Circular Letter itself is short but very dense, and is divided into three parts.

The first part develops a set of general considerations, including in particular:

Priority attention to the victims of sexual abuse: listening to the victims and their families, and a commitment to their spiritual and psychological assistance.

The development of prevention programs to create truly safe environments for children.

The formation of future priests and religious and exchange of information on candidates to the priesthood or religious life who are transferred.

Support for priests, their ongoing formation and informing them of their responsibilities regarding the issue, how to support them when they are accused, dealing with cases of abuse according to law, the rehabilitation of the good reputation of those who have been unjustly accused.

Cooperation with civil authorities within their responsibilities. "Specifically, without prejudice to the sacramental internal forum, the prescriptions of civil law regarding the reporting of such crimes to the designated authority should always be followed". This cooperation should be implemented not only in cases of abuse by clergy, but by any employee who works in a Church structure.

The second part addresses applicable canonical legislation in force today, after the revision of 2010.

It refers to the power of bishops and Major Superiors in preliminary investigation and, in the case of a credible allegation, their obligation to refer the matter to the Congregation for the Doctrine of the Faith, which offers guidance for the handling of the case.

It speaks about the precautionary measures to be imposed and information to be given to the accused during the preliminary investigation.

It refers to the canonical measures and ecclesiastical penalties that can be applied to offenders, including dismissal from the clerical state.

Finally, it specifies the relationship between canon law valid for the entire Church and any additional specific particular norms that given Episcopal Conferences deem appropriate or necessary, and the procedure to be followed in such cases.

The Third and final part lists a number of useful observations in formulating concrete operational guidelines for bishops and major superiors.

Among other things, the need to offer assistance to victims is stressed as well as the need to treat the complainant with respect and ensure the privacy and reputation of the people involved; to take due account of the civil laws of the country, including any obligation to notify the civil authorities; to ensure the accused information on the allegation and an opportunity to respond, and in any case a just and worthy support; to exclude the cleric's return to public ministry, in case of danger to minors or of scandal to the community. Once again, the primary responsibility of bishops and Major Superiors is reiterated, a responsibility which can not be replaced by supervisory bodies, however useful or necessary they may be in support of this responsibility.

The Circular therefore represents a very important new step in promoting awareness throughout the Church of the need and urgency to effectively respond to the scourge of sexual abuse by members of the clergy. Only in this way can we renew full credibility in the witness and educational mission of the Church, and help create in society in general, safe educational environments of which there is an urgent need.

Vatican Asks Bishops to Turn in Guidelines on Abuse

Deadline Set for Next May

Bishops have been given a year to turn in guidelines for dealing with the sexual abuse of minors by clergy or religious, according to three Vatican documents made public today.

The request is made in a circular letter, along with an introduction and a summary, published by the Congregation for the Doctrine of the Faith.

The letter provides a broad set of principles to help bishops' conferences to establish guidelines, thereby ensuring that the universal Church will respond to sexual abuse consistently from one country to another.

Many episcopal conferences already have guidelines in place; the circular letter states that norms and provisions set by a conference "must be understood as a complement to universal law and not replacing it."

The principles give priority to victims and prevention, as well as cooperation with civil authorities.

In regard to this last point, the letter states: "Although relations with civil authority will differ in various countries, nevertheless it is important to cooperate with such authority within their responsibilities. Specifically, without prejudice to the sacramental internal forum, the prescriptions of civil law regarding the reporting of such crimes to the designated authority should always be followed. This collaboration, moreover, not only concerns cases of abuse committed by clerics, but also those cases which involve religious or lay persons who function in ecclesiastical structures."

Models

The Vatican document lauds programs of education and prevention that the Church in some countries has begun.

"Such programs seek to help parents as well as those engaged in pastoral work and schools to recognize the signs of abuse and to take appropriate measures," the letter notes. "These programs have often been seen as models in the commitment to eliminate cases of sexual abuse of minors in society today."

In various places, the Vatican statements affirm the Church's concern for the victims, and the letter states that "ecclesiastical authority should commit itself to offering spiritual and psychological assistance to the victims."

The letter provides a brief summary of canonical legislation regarding the sexual abuse of minors, including the requirement to turn cases in to the Congregation for the Doctrine of the Faith and the practice for removing an abuser from the priesthood.

The document also clarifies that an accused cleric should be presumed innocent until proven the contrary.

"Nonetheless," it says, "the bishop is always able to limit the exercise of the cleric's ministry until the accusations are clarified. If the case so warrants, whatever measures can be taken to rehabilitate the good name of a cleric wrongly accused should be done."

The document adds that "the return of a cleric to public ministry is excluded if such ministry is a danger for minors or a cause of scandal for the community."

Aide: Many Bishops Already Have Abuse Guidelines

USA, Ireland, Others Ahead of Vatican Request

The Vatican is asking episcopal conferences to turn in guidelines for handling sexual abuse by clergy, but many nations already have such guidelines in force, the Vatican spokesman clarified.

Jesuit Father Federico Lombardi, director of the Vatican press office, presented three documents from the Congregation for the Doctrine of the Faith today, in which broad principles are given to bishops to assist them in establishing their own guidelines. The Vatican has asked every episcopal conference to turn in their guidelines within a year's time, by the end of May 2012.

The Vatican spokesman explained that most English-speaking countries have already specified such guidelines, in particular in the United States, Ireland, England and Wales, Scotland, New Zealand, Malta, Australia and Canada.

In Asia, the bishops of the Philippines have already adopted guidelines, while those of India are working at present on the document.

In Latin American, Brazil and Chile have already adopted this type of measure, while Venezuela is in process.

In regard to Europe, Germany's practices are well known, Father Lombardi said, and guidelines have already been adopted in Austria, Switzerland, Slovenia and France. They are being worked on in Holland, Sweden and Belgium.

Father Lombardi noted that in Italy, a new abuse case has just been brought to public attention, with a priest of Genoa having been sentenced for sexual abuse and drug trafficking.

The spokesman lauded Cardinal Angelo Bagnasco, archbishop of Genoa and president of the Italian episcopal conference, for his response to the Genoa case.

He added that the conference is working on guidelines, as previously each bishop was expected to respond according to his own criteria.

In any case, one of the main points of today's documents, Father Lombardi clarified, is emphasizing the responsibility of bishops and major superiors in handling these situations. Bishops can receive help from experts, but on the condition that this does not imply "abdication from the specific responsibility that the superior has," he said.

Reporting

The Jesuit also clarified a point often misunderstood by the public.

Speaking of cooperation with civil authorities, he said that "it is necessary to collaborate according to the existing situation. If there are laws, they must be applied; if there aren't any, it must be taken into account that we do not promulgate them. In this case, it is necessary to collaborate as best as possible to guarantee the protection of the victims, and to ensure justice."

He also spoke of the pastoral responsibility for preventing abuse, with care to know a priest's personality and to be attentive to signs that could indicate anomalies.

In regard to the compensation of victims, Father Lombardi offered by way of example the precedent set by the Church in Germany, which he said "consists in being very attentive and very generous in paying for therapies."